

Microbial Contamination in Sprouts: How Effective Is Seed Disinfection Treatment?

Hongliu Ding, Tong-Jen Fu, and Michelle A. Smith

Abstract: Microbial contamination of sprouts by *Salmonella* and *Escherichia coli* O157:H7 has been a common cause of foodborne diseases and a continuing challenge to the sprout industry. Seed disinfection treatment has been recommended as a major intervention step in a multihurdle approach to reduce the risk of illness associated with contaminated sprouts. U.S. Food and Drug Administration cited 20000 ppm calcium hypochlorite as an example treatment in its recommendation for seed treatment and this treatment has been considered the reference standard for seed disinfection treatment for over a decade. However, promising new disinfection treatments have emerged in recent years. In this study, we summarized published data and compared the efficacies of different disinfection methods in the reduction of microbial contamination on seeds. Our findings suggest that while biological interventions such as competitive exclusion and certain chemical treatments appear to be similar to 20000 ppm calcium hypochlorite for seed disinfection, physical methods especially high pressure may be more effective than the reference standard regardless of the type of bacteria or seed. The combination of 2 or more treatments, sequentially or simultaneously, may further improve disinfection results. Since treatments with high levels of chemical disinfectants, especially 20000 ppm calcium hypochlorite, can pose environmental and worker safety risks, alternative intervention approaches should be considered. Additional studies to confirm the greater efficacy of certain physical and combined seed disinfection treatments and to identify other effective management strategies are needed to further improve sprout safety.

Keywords: alfalfa, *Escherichia coli* O157:H7, food safety, pathogens, *Salmonella*, sprouts

Introduction

Multiple outbreaks have been linked to consumption of raw and lightly cooked sprouts throughout the world (Taormina and others 1999; Ben Chapman 2012; Centers for Disease Control and Prevention Foodborne Outbreak Online Database 2012). Outbreaks have been associated with a wide variety of sprout types, including alfalfa, mung bean, clover, cress, soybean, and radish sprouts. The infected population can be as few as single number (Taormina and others 1997) and as large as several thousands including dozens of deaths, as in the catastrophic public health crisis in Japan in 1996 (Ministry of Health and Welfare of Japan 1997) and more recently in Germany (Robert Koch Inst. 2011). As sprouts have been popular due to their nutritive value (Kurtzweil 1999) and other perceived benefits such as anticarcinogenic and anticholesterol properties (Donaldson 2004), many consumers could be exposed to disease if the sprouts are contaminated.

Unlike other fresh produce, sprout production involves a unique seed germination process that can support the growth of pathogens, if present. Contamination of sprouts by microbial pathogens is a major concern and poses a challenge to the industry because the optimal conditions for sprout germination are also ideal for bacterial proliferation. A variety of microbes comprise the normal microbial community in sprouts (Loui and others

2008). *Salmonella* and *E. coli* O157:H7 are the 2 most frequent pathogenic contaminants causing sprout-associated outbreaks in the United States (Figure 1).

To help industry minimize microbial hazards in sprouts, the U.S. Food and Drug Administration (FDA) asked the National Advisory Committee on Microbiological Criteria for Foods (NACMCF) to review the science behind sprout outbreaks and suggest recommendations to enhance sprout safety. In 1999, FDA released guidelines, based largely on the work of NACMCF, which included 5 basic recommendations: (1) growing seed for sprouting using good agricultural practices, (2) conditioning and storing seed under sanitary conditions, (3) following GMPs, as appropriate, at sprouting facilities, (4) applying a disinfection treatment to seed immediately before sprouting, and (5) in-process testing of spent sprout irrigation water for pathogens of concern before finished product enters market channels (U.S. Food and Drug Administration 1989, 1999a,b, 1999; National Advisory Committee on Microbiological Criteria for Foods 1999). As an example, FDA cited 20000 ppm calcium hypochlorite for seed disinfection treatment.

Sprout associated outbreaks appeared to decline after release of FDA's sprout guidelines in 1999 (Figure 1). However, the extent of implementation of these guidelines is a continuing concern (Thomas and others 2003). In addition, the efficacy of the seed disinfection treatment itself has been found to be highly variable (Montville and Schaffner 2004). Since the introduction of these guidelines in 1999, sprout-associated outbreaks have not been satisfactorily controlled (Figure 1). It is important to examine the effectiveness of the different seed disinfection treatments as one of the control strategies. Not only the 20000 ppm calcium hypochlorite, but also other emerging options that might work better for reducing microbial contamination in sprouts should be assessed.

MS 20120886 Submitted 6/29/2012, Accepted 12/26/2012. Author Ding is with U.S. Food and Drug Administration, Office of the Commissioner, Silver Spring, MD, U.S.A.; and U.S. Food and Drug Administration, Div. of Food Processing Science & Technology, Bedford Park, IL, U.S.A. Author Fu is with U.S. Food and Drug Administration, Div. of Food Processing Science & Technology, Bedford Park, IL, U.S.A. Author Smith is with U.S. Food and Drug Administration, Center for Food Safety and Applied Nutrition, College Park, MD, U.S.A. Direct inquiries to authors Ding (E-mail: Hongliu.Ding@fda.hhs.gov) and Fu (E-mail: Tongjen.Fu@fda.hhs.gov).

To that end, we summarized and compared the efficacies of different seed disinfection treatments that have been reported in the literature (Table 1).

Chemical Disinfection

As a classical tool to combat microbial contamination, chemicals have been widely studied for disinfection treatment of sprout seeds. Although their efficacy could be limited by the degree of exposure of the pathogens to chemical agents and additional approaches to ensure an effective exposure such as presoak, optimized chemical-to-seed ratio, stirring, and vacuum might be critical, the convenience and affordability of chemical treatments promote them as the primary choice for sprout seed disinfection. A representative chemical treatment, calcium hypochlorite used at a concentration of 20000 ppm, is cited by the FDA in its guidance recommending seed disinfection (U.S. Food and Drug Administration 1999a). However, the sprout industry has not fully welcomed this treatment, largely due to concerns about worker safety and its unfavorable impact on the environment. In fact, some argue that the referenced 20000 ppm calcium hypochlorite disinfection treatment for sprout seeds is not consistent with the production of “organic” sprouts and that its use is not allowed in some countries, such as Germany (Weiss and others 2007). Some sprout firms and countries are adopting alternative treatments. For example, in Japan, one of the major sprout-consuming countries, a heat treatment for disinfection of mung beans is used (Bari and others 2010a).

20000 ppm calcium hypochlorite

Cited by the FDA and considered as the reference standard for seed disinfection, 20000 ppm calcium hypochlorite has been reported to effectively reduce the microbial load on seeds. Seed treatment with 20000 ppm calcium hypochlorite at room temperature for 10 to 15 min produces an average of 3.08 log CFU/g reduction in bacterial population (Figure 2). However, there is a high level of variability in the efficacy reported in the literature (Table 1). Increasing the treatment time did not improve the level of microbial reduction but did decrease the germination rate of the seeds (Kim and others 2003). Although the variation in efficacy could be attributed to many factors, including different experimental protocols, the inability of the chlorine to reach the bacteria in contaminated seeds could be a major cause of the inconsistency. Greater microbial reductions were observed on smooth seeds than on scarified seeds (Holliday and others 2001) and different seed surface coating conditions and topology were likely the key con-

tributors to the variation of accessibility to the pathogens (Fransisca and Feng 2012). This treatment, under typical conditions (10 to 15 min), does not significantly affect the germination rate, and the disinfection effects are similar on different types of bacteria.

Other chemicals

The need to decontaminate seeds in a way that is efficacious, cost-effective, safe, and environmentally friendly, without a negative impact on seed germination, has prompted researchers to continue testing alternative seed treatments. Many other commonly used chemical agents such as H₂O₂, ethanol, lactic acid, peroxyacetic acid, and fatty acid have been tested for seed disinfection (Beuchat 1997; Lang and others 2000; Kim and others 2003; Liao 2009; Buchholz and Matthews 2010; Chang and others 2010) but their efficacies vary (Table 1). In addition, few of these treatments have been the subject of repeated studies, making an accurate estimate of efficacy of individual treatments very difficult. Although the average efficacy of some of these chemical treatments may be similar to that of 20000 ppm calcium hypochlorite (Figure 2), and the maximal reported efficacy could reach a microbial reduction as high as 7.11 log CFU/g, the majority of these treatments can only achieve a moderate bacterial reduction (<3.50 log CFU/g). The used treatment conditions also vary significantly between different chemicals. The treatment time could be as short as several seconds and as long as days at either ambient or elevated temperatures. Because of the inconvenience of the applications due to the varying treatment conditions, inadequate validation of efficacies, as well as the influence of FDA guidance for seed disinfection, these treatments are less adopted than the 20000 ppm calcium hypochlorite.

Physical Inactivation

Physical methods, especially heat and high-pressure treatments, have been used for microbial inactivation in applications by other food industry groups, for example, juice processing. The recent adoption of this strategy for sprouts appears promising. Unlike chemical treatments, which may be limited by inaccessibility to pathogens sheltered in scarified surfaces and the interior of the seeds, physical treatments have better penetration characteristics for reaching bacteria in those places. In addition, physical methods such as heat and high pressure are more environmentally friendly and have been actively promoted by researchers in countries where the 20000 ppm calcium hypochlorite treatment is not an option.

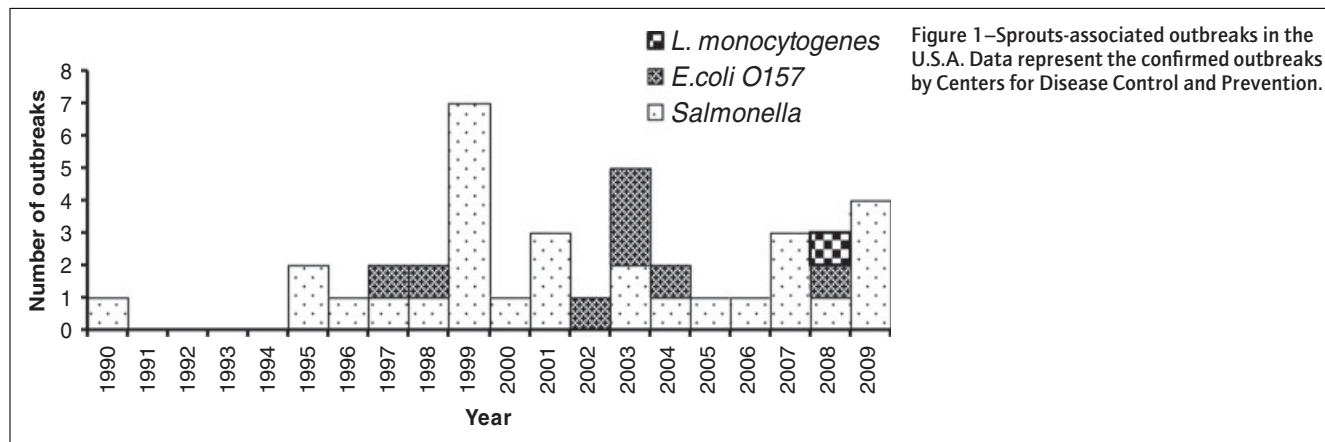


Figure 1—Sprouts-associated outbreaks in the U.S.A. Data represent the confirmed outbreaks by Centers for Disease Control and Prevention.

Table 1—Studies of disinfection treatments on sprout seeds.

Author, Year	Chemical				Physical and biological treatment				Combination		Reduction (log CFU/g)
	Disinfection condition†	Reduction (log CFU/g)	Author, Year	Disinfection condition†	Reduction (log CFU/g)	Author, Year	Disinfection condition†	Disinfection condition†	Reduction (log CFU/g)		
20000 ppm Ca(OCl)₂											
Bari, 2010a	20 min	2.50	Bari, 2003	50 C, 1 h	1.00	Bari, 2010a	20000 ppm Ca(OCl) ₂ + Chlorine (2000 ppm)		2.91		
Bari, 2010a	20 min	2.70	Bari, 2003	50 C, 1 h	0.90	Bari, 2010a	20000 ppm Ca(OCl) ₂ + Chlorine (2000 ppm)		3.21		
Buchholz, 2010	15 min	1.13	Bari, 2003	50 C, 1 h	1.73	Fransisca, 2012a	Malic acid (10%) + Thiamine dilauryl sulfate (1%)		3.41		
Charkowski, 2001	15 min	2.50	Bari, 2008	90 C + 0 C, 90 s + 30 s	4.34	Fransisca, 2012b	Malic acid (10%) + Thiamine dilauryl sulfate (1%)		3.02		
Fransisca, 2012	20 min	3.41	Bari, 2008	90 C + 0 C, 90 s + 30 s	5.08	Fransisca, 2012b	Malic acid (10%) + Thiamine dilauryl sulfate (1%)		1.88		
Fransisca, 2012	20 min	1.36	Bari, 2009a	50 C, 24 h	3.50	Holiday, 2001	Ca(OH) ₂ (1%) + Tween 80 (1%)		3.96		
Fransisca, 2012	20 min	2.16	Bari, 2009a	50 C, 24 h	5.00	Holiday, 2001	Ca(OH) ₂ (1%) + Tween 80 (1%)		3.39		
Gandhi, 2003	10 min	4.98	Bari, 2009a	50 C, 24 h	5.00	Holiday, 2001	Ca(OH) ₂ (1%) + Span 20 (1%)		3.12		
Holiday, 2001	10 min	2.96	Bari, 2009a	50 C, 24 h	2.50	Holiday, 2001	Ca(OH) ₂ (1%) + Span 20 (1%)		2.99		
Holiday, 2001	10 min	3.19	Bari, 2009b	75 C + 0 C, 20 s + 20 s	4.38	Lang, 2000	Lactic acid (2.5%) + Chlorine (2000 ppm)		3.70		
Holiday, 2001	10 min	2.96	Bari, 2009b	75 C + 0 C, 20 s + 20 s	5.80	Lang, 2000	Lactic acid (5%) + Chlorine (2000 ppm)		3.40		
Lang, 2000	15 min	6.90	Bari, 2009b	75 C + 0 C, 20 s + 20 s	5.80	Lang, 2000	Lactic acid (5%) + Chlorine (2000 ppm)		3.40		
Liao, 2009	45 min	2.80	Bari, 2010a	85 C, 10 s	2.82	Kim, 2010	Ca(OCl) ₂ (200 µg/mL) + Drying (24 h)		1.50		
Kim, 2003	10 min	4.75	Bari, 2010a	85 C, 10 s	3.29	Kim, 2010	Ca(OCl) ₂ (200 µg/mL) + Drying (24 h)		3.80		
Kumar, 2006	20 min	1.14	Bari, 2010a	85 C, 40 s	3.69	Pierre, 2006	Emery 658 + Glycerol monolaurate		4.60		
Kumar, 2006	20 min	0.51	Bari, 2010a	85 C, 40 s	3.84	Pierre, 2006	Emery 658 + Glycerol monolaurate		6.90		
Rajkowski, 2009	20 min	0.00	Feng, 2007	55 C, 8 d	1.17	Zhao, 2010	Levulinic acid (0.5%) + SDS (0.05%)		6.40		
Zhao, 2010	20 min	6.30	Feng, 2007	55 C, 8 d	7.75	Zhao, 2010	Levulinic acid (0.5%) + SDS (0.05%)		5.60		
Zhao, 2010	20 min	6.30	Hu, 2004	55 C, 4 d	5.00	Chemical + Heat					
Other chemicals											
Beuchat, 1997	NaOCl, 2,000 ppm, 30s	3.90	Hu, 2004	55 C, 5 d	3.00	Bang, 2011	ClO ₂ (500µg/mL) + drying (25 C, 2 h) + Heat (55 C, 36 h)		4.80		
Beuchat, 1997	H ₂ O ₂ , 6%, 30 s	3.57	Neetoo, 2011	65 C, 10 d	4.70	Bari, 2003	50 C + Electrolyzed oxidizing water		4.02		
Beuchat, 1997	Ethanol, 80%, 30 s	3.76	Neetoo, 2011	65 C, 10 d	4.50	Bari, 2003	50 C + Electrolyzed oxidizing water		1.52		
Buchholz, 2010	Peroxyacetic acid, 1%	1.77	High pressure			Bari, 2003	50 C + Electrolyzed oxidizing water		2.64		
Buchholz, 2010	Peroxyacetic acid, 3%	1.34	Neetoo, 2008	600 MPa, 2 min	5.70	Bari, 2009a	50 C + Sanitizer, 17 h		5.00		
			Neetoo, 2008	500 MPa, 2 min	3.50	Bari, 2009a	50 C + Sanitizer, 17 h		5.00		

(Continued)

Table 1-Continued

Author, Year	Chemical			Physical and biological treatment			Combination		
	Disinfection condition†	Reduction (log CFU/g)	Author, Year	Disinfection condition†	Reduction (log CFU/g)	Author, Year	Disinfection condition†	Reduction (log CFU/g)	
Chang, 2010	Monocaprylin, 75 mM, 10 min	7.05	Neetoo, 2009a	600 MPa, 2 min, 20 C	3.70	Bari, 2009a	50 C + Sanitizer, 17 h	4.50	
Chang, 2010	Monocaprylin, 75 mM, 10 min	7.11	Neetoo, 2009a	Presoaked + 600 MPa	5.00	Bari, 2009a	50 C + Sanitizer, 17 h	5.00	
Chang, 2010	Caprylic acid, 75 mM, 10 min	7.05	Neetoo, 2009b	550 MPa, 2 min, 40 C	4.90	Bari, 2010a	85 C (10 s) + Chlorine (2000 ppm, 2 h)	3.53	
Chang, 2010	Caprylic acid, 75 mM, 10 min	7.11	Neetoo, 2010	500 MPa, 2 min, 45 C	5.80	Bari, 2010a	85 C (10 s) + Chlorine (2000 ppm, 2 h)	3.29	
Himathongkham, 2001	Ammonia, 180 ppm, 22 h	3.00	Neetoo, 2010	500 MPa, 2 min, 45 C	5.20	Bari, 2010a	85 C (40 s) + Chlorine (2000 ppm, 2 h)	3.69	
Himathongkham, 2001	Ammonia, 180 ppm, 22 h	2.00	Wuytack, 2003	300 MPa, 15 min	6.00	Bari, 2010a	85 C (40 s) + Chlorine (2000 ppm, 2 h)	3.84	
Himathongkham, 2001	Ammonia, 180 ppm, 22 h	5.50	Wuytack, 2003	300 MPa, 15 min	6.00	Bari, 2010b	85 C (40 s) + Chlorine (2000 ppm, 2 h)	4.69	
Himathongkham, 2001	Ammonia, 180 ppm, 22 h	5.00	Irradiation			Bari, 2010b	85 C (40 s) + Chlorine (2000 ppm, 2 h)	4.84	
Holiday, 2001	H ₂ O ₂ , 8%, 10 min	3.48	Nei, 2010	1.5 kGy	2.80	Heat + Irradiation		4.56	
Holiday, 2001	H ₂ O ₂ , 8%, 10 min	3.25	Saroj, 2007	2 kGy, on ice	4.60	Bari, 2003	50 C + Irradiation (2.0 kGy)	4.85	
Holiday, 2001	Ca(OH) ₂ , 1%, 10 min	3.65	Thayer, 2003	2 kGy	2.00	Bari, 2003	50 C + Irradiation (1.5 kGy)	5.49	
Holiday, 2001	Ca(OH) ₂ , 1%, 10 min	3.15	Thayer, 2003	2 kGy	3.30	Bari, 2003	50 C + Irradiation (2.0 kGy)	4.43	
Jung, 2009	SC-CO ₂ , 10 MPa, 5 min, 45 C	2.48	Biological			Bari, 2003	50 C + Irradiation (1.5 kGy)	5.69	
Jung, 2009	SC-CO ₂ , 15 MPa, 10 min, 35 C	3.51	Fett, 2006	Pseudomonads 2 to 79	4.98	Bari, 2003	50 C + Irradiation (2.0 kGy)	5.00	
Kim, 2003	Electrolyzed oxidizing water	1.66	Kocharunchitt, 2009	Phage	1.00	Bari, 2009a	50 C + Irradiation (1.0 kGy), 17 h	4.50	
Kim, 2003	Deionized water, 10 min	0.57	Matos, 2005	Pseudomonads 2 to 79	4.22	Bari, 2009a	50C + Irradiation (1.0 kGy), 17 h	5.00	
Kim, 2003	Chlorine water, 10 min	1.70	Nandiwada, 2004	Bacteriocin (colicin)	3.00	Bari, 2009a	50 C + Irradiation (0.25 kGy), 17 h	5.00	
Kumar, 2006	NaOCl, 200 ppm, 28 C	3.50	Ye, 2010	E-asburiae	5.56	Bari, 2009a	50 C + Irradiation (0.25 kGy), 17 h	5.00	
Kumar, 2006	NaOCl, 200 ppm, 28 C	3.50	Ye, 2010	Phage	3.41	Bari, 2009a	50 C + Irradiation (0.25 kGy), 17 h	5.00	
Lang, 2000	Lactic acid, 5%, 10 min, 42 C	3.00	Others						
Lang, 2000	Acetic acid, 5%, 10 min, 42 C	2.40	Neetoo, 2011	65 C (12 h) + 600 MPa (2 min, 35 C)	5.00				
Liao, 2009	Acidified sodium chlorite, 800 ppm	4.00	Neetoo, 2011	65 C (12 h) + 600 MPa (2 min, 35 C)	4.40				
Nei, 2010	Acidified chlorite, 200 to 1200 ppm	2.90	Nei, 2010	Acidified chlorite + Irradiation (1.5 kGy)	4.20				
Pierre, 2006	Fatty-acid-based sanitizer	5.45	Rajkowski, 2009	Ozone + 1% Peroxyacetic acid	4.12				
Pierre, 2006	Fatty-acid-based sanitizer	5.62	Ye, 2010	E-asburiae + Phage	6.72				
Sharma, 2003	Electrolyzed oxidizing water	1.56	Ye, 2010	E-asburiae + Phage	7.62				
Stan, 2003	Electrolyzed oxidizing water	2.04							

C: Temperature (°C), s: second, min: minute, h: hour, d: day.
† Disinfection treatments for different pathogens or seeds under same conditions were listed separately.

Heat

Heat treatment has been a primary alternative to chemical treatment, especially in Japan. Treating seeds at a relatively warm temperature in the range of 50° C for hours or days have been reported, with varying disinfection efficacies (Bari and others 2003, 2009a, 2010; Hu and others 2004; Feng and others 2007; Neetoo and Chen 2011). A quick treatment at an elevated temperature of 90° C for about 90 s followed by rapid (30 s) cooling to 0° C improved the disinfection compared to the treatments at lower temperatures for longer duration (Bari and others 2008). However, due to the decreased germination rate resulting from the high temperature, this method is not applicable for use on seed for sprouting, although a similar procedure at 75° C appears promising (Bari and others 2009b). Recent work on using heat for disinfection involves treating seeds in hot water at 85° C for 10 s (Bari and others 2010a), which was reported to consistently achieve a bacterial reduction of approximately 3.0 log CFU/g. Increasing the treatment time to 40 s has been shown to further improve treatment efficacy by more than 0.5 log without a significant reduction of germination rate (Bari and others 2010a, 2008b).

High pressure

The application of high pressure for seed disinfection also appears to be very promising (Table 1 and Figure 2). Seed treatment at 500 to 600 MPa for 2 min at room temperature can achieve a reduction in bacterial load of 3.50 log CFU/g or more (Neetoo and others 2008; 2009b). Coupled with presoaking or higher temperature, the high-pressure seed disinfection treatment

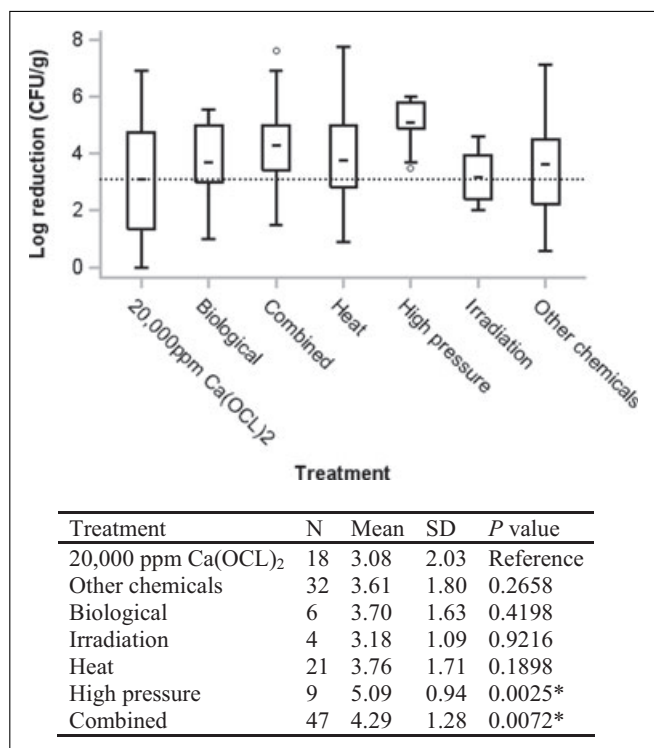


Figure 2—Box plot showing comparison of seed disinfection efficacies by various treatments, reported by 44 published articles. Bar in the middle of the box is mean and the dashed line represents the mean reduction of reference treatment, 20000 ppm Ca(OCl)₂. Statistical analyses were summarized in the table. General linear model was performed using SAS 9.2 for Windows software. The symbol (*) denotes statistical significance ($P < 0.05$).

can be even more effective (Neetoo and others 2009a,b, 2010). Treatment at a medium pressure (300 MPa) for a longer time (15 min) produced similar disinfection results, although a delay in germination was observed (Wuytack and others 2003). On average, the high-pressure treatment can achieve a microbial reduction of 5.09 log CFU/g, which is the most effective method so far among all available seed disinfection treatments and is significantly better than the reference standard of 20000 ppm calcium hypochlorite (Figure 2). The variation in the efficacy of high-pressure treatment between different studies is small, which could be explained by the relatively few number of researchers who have conducted the high-pressure studies. Nevertheless, additional studies should be performed to verify efficacy under different treatment conditions and seed types and to determine if the method is commercially viable.

Irradiation

Irradiation at different dosages has been tested and approved for seed decontamination by the FDA (U.S. Food and Drug Administration 2000). Although the maximal dose for seed treatment approved by the FDA is 8 kGy (U.S. Food and Drug Administration Memorandum 1999), the majority of studies targeted 2 kGy or below as a generally acceptable practice for the treatments of seeds destined for sprouting with desirable germination and quality. Since there are relatively few studies available, we combined studies conducted at 2.0 kGy with those conducted at 1.5 kGy to summarize treatment efficacy for this review (Rajkowski and Thayer 2001; Schoeller and others 2002; Thayer and others 2003; Bari and others 2004; Saroj and others 2007; Nei and others 2010). Irradiation at this dose range can consistently produce an average of 3.18 log CFU/g reduction in microbial contamination (Figure 2), and it is almost equally effective in seeds and final sprout products (data not shown); however, its impact on length, yield, and appearance of sprouts (Rajkowski and others 2003) and potential nutrient loss (that is, vitamin C, Bari and others 2004) are issues that currently prevent this method from becoming widely acceptable as a disinfection treatment.

Biological Inhibition

Competitive exclusion from normal microbial flora has received some attention for the control of pathogens in food, and this strategy may also work in sprouts to a certain extent. Multiple strains of bacteria, bacteriocins, as well as bacteriophages have been tested to inhibit the growth or multiplication of *Salmonella* or *E. coli* O157:H7 during sprout production (Nandiwada and others 2004; Matos and Garland 2005; Fett 2006; Kocharunchitt and others 2009; Ye and others 2010). Although 2 strains of lactic acid bacterium have been shown to be able to effectively control (> 6 log CFU/g inhibition) the growth of either *Salmonella* or *E. coli* O157:H7 in culture broth (Wilderdye and others 2004), tests on inoculated seeds reveal less satisfactory results. In general, results from the limited number of studies with seeds suggest that the inhibition of microbial contamination by these biological agents could potentially achieve a similar effect as 20000 ppm calcium hypochlorite in reduction of microbial populations. However, due to the complexity of its application, uncertainty about its efficacy on an industrial scale, and the concern of potential adverse health effects, whether this strategy will become a major control option in sprout production remains to be determined.

Combined Treatment

As discussed above, seed disinfection by a single type of treatment can significantly reduce microbial populations; however, combination treatments could be more effective, and synergistic effects may be achieved by applying 2 or more methods, either sequentially or simultaneously.

Different combinations of treatments (Table 1) have been studied to search for better seed disinfection methods (Lang and others 2000; Holliday and others 2001; Bari and others 2003; 2009, 2010a,b; Kim and others 2003, 2010; Pierre and Ryser 2006; Nei and others 2010; Ye and others 2010; Zhao and others 2010; Bang and others 2011; Fransisca and others 2012; Fransisca and Feng 2012). Although some combined treatments are not effective as expected, they usually produce a greater microbial reduction than can be achieved by the individual treatments alone. Not surprisingly, the overall disinfection efficacy of the combined treatments is significantly better than 20000 ppm calcium hypochlorite alone (Figure 2). While identifying an optimal combination might be challenging due to the complexity introduced by the application of multiple treatments and to issues related to practical implementation, combination treatments, once established, could provide the best control strategy.

Conclusions

Seed sanitation is an important part of a multihurdle risk management strategy in the production of sprouts. Numerous studies have evaluated different approaches for pathogen reduction in sprouting seeds. The accumulated evidence is rich so that a fair estimate of the efficacy of different treatments can be generated, as summarized in Table 1. While the majority of the published studies considered here successfully avoided significant losses in seed germination, disinfection efficacies vary. Our results suggest that although 20000 ppm calcium hypochlorite is currently considered to be the reference standard for seed disinfection, it may be equivalent to other approaches, or even less efficient than certain newly emerged treatments (Figure 2). Physical treatments, especially high pressure, show promise for producing better disinfection results than that obtained by 20000 ppm calcium hypochlorite treatment. The improvement may be attributable partially to the greater ability of physical treatments to reach and affect bacteria both inside and outside of seeds. Although irradiation is at least as effective, this treatment has not been accepted by the industry (or sprout consumers) for a number of reasons, including the impact of this treatment on sprout quality and yield. Certain combined treatments also appear to be more effective than 20000 ppm calcium hypochlorite. More studies are needed to confirm the efficacy of physical and combined seed disinfection treatments. The current reference standard may not be the best sanitation treatment for sprout production, because the high levels of chemicals can pose environmental and worker safety risks. Alternative intervention approaches should be considered. Given the “green” nature of physical treatments, further investigations of this type of approach are warranted.

It is worth mentioning that the majority of articles included in this review report studies involving varying experimental designs and procedures and the use of artificially inoculated seeds with significant higher pathogen load than naturally contaminated seeds. In addition, although it appears that seed disinfection could achieve a similar effect on *Salmonella* and *E. coli* O157:H7, studies on other common or emerging pathogens such as *L. monocytogenes* and *E. coli* O104:H4 are lacking. Therefore, it is difficult to recommend specific treatments to the sprout industry based on the

published literature. Risk reduction measures should be validated for the specific conditions in a production facility. It also should be pointed out that even though satisfactory seed disinfection can be achieved, it is possible that the final products can still be contaminated due to the growth of pathogens survived in the treated seeds during sprouting. It is unlikely that the seed disinfection alone will eliminate the microbial contamination in sprout production, and thus, additional risk management options, such as a microbial sampling and testing program, should also be implemented in order to minimize microbial hazards associated with sprouts. The change of the current industrial practice in sprout production based on new science-based evidence will be necessary to improve sprout safety.

Acknowledgments

This work was supported by the FDA Commissioner’s Fellowship Program. We thank Dr. Mary Lou Tortorello and Dr. Absar Alum for critically reading and editing this manuscript.

References

- Bang J, Kim H, Kim H, Beuchat LR, Ryu JH. 2011. Combined effects of chlorine dioxide, drying, and dry heat treatments in inactivating microorganisms on radish seeds. *Food Microbiol* 28:114–8.
- Bari ML, Al-Haq MI, Kawasaki T, Nakauma M, Todoriki S, Kawamoto S, Ishikii K. 2004. Irradiation to kill *Escherichia coli* O157:H7 and *Salmonella* on ready-to-eat radish and mung bean sprouts. *J Food Prot* 67:2263–8.
- Bari ML, Enomoto K, Nei D, Kawamoto S. 2010a. Practical evaluation of Mung bean seed pasteurization method in Japan. *J Food Prot* 73:752–57.
- Bari L, Enomoto K, Nei D, Kawamoto S. 2010b. Scale-up seed decontamination process to inactivate *Escherichia coli* O157:H7 and *Salmonella* Enteritidis on mung bean seeds. *Foodborne Pathog Dis* 7:51–6.
- Bari ML, Inatsu Y, Isobe S, Kawamoto S. 2008. Hot water treatments to inactivate *Escherichia coli* O157:H7 and *Salmonella* in mung bean seeds. *J Food Prot* 71:830–4.
- Bari ML, Nazuka E, Sabina Y, Todoriki S, Ishiki K. 2003. Chemical and irradiation treatments for killing *Escherichia coli* O157:H7 on alfalfa, radish, and mung bean seeds. *J Food Prot* 66:767–4.
- Bari ML, Nei D, Enomoto K, Todoriki S, Kawamoto S. 2009a. Combination treatments for killing *Escherichia coli* O157:H7 on alfalfa, radish, broccoli, and mung bean seeds. *J Food Prot* 72:631–6.
- Bari ML, Sugiyama J, Kawamoto S. 2009b. Repeated quick hot-and-chilling treatments for the inactivation of *Escherichia coli* O157:H7 in mung bean and radish seeds. *Foodborne Pathog Dis* 6:137–43.
- Ben Chapman. 2012. Sprout associated outbreaks in North America, 1990–2009. Available from: <http://foodsafety.ksu.edu/en/article-details.php?a=3&c=10&sc=74&id=865>. Accessed 2012 June 28.
- Beuchat LR. 1997. Comparison of chemical treatments to kill *Salmonella* on alfalfa seeds destined for sprout production. *Int J Food Microbiol* 34:329–33.
- Buchholz A, Matthews KR. 2010. Reduction of *Salmonella* on alfalfa seeds using peroxyacetic acid and a commercial seed washer is as effective as treatment with 20000 ppm of Ca(OCl)₂. *Let Appl Microbiol* 51:462–8.
- Centers for Disease Control and Prevention Foodborne Outbreak Online Database. 2012. Available from: <http://www.cdc.gov/foodborneoutbreaks/Default.aspx>. Accessed 2012 June 28.
- Chang SS, Redondo-Solano M, Thippareddi H. 2010. Inactivation of *Escherichia coli* O157:H7 and *Salmonella* spp. on alfalfa seeds by caprylic acid and monocaprylin. *Int J Food Microbiol* 144:141–6.
- Donaldson MS. 2004. Nutrition and cancer: a review of the evidence for an anti-cancer diet. *Nutr J* 3:19.
- Feng G, Churey JJ, Worobo RW. 2007. Thermal inactivation of *Salmonella* and *Escherichia coli* O157:H7 on alfalfa seeds. *J Food Prot* 70:1698–703.
- Fett WF. 2006. Inhibition of *Salmonella enterica* by plant-associated pseudomonads in vitro and on sprouting alfalfa seed. *J Food Prot* 69:719–28.
- Fransisca L, Feng H. 2012. Effect of surface roughness on inactivation of *Escherichia coli* O157:H7 87–23 by new organic acid-surfactant combinations on alfalfa, broccoli, and radish seeds. *J Food Prot* 75:261–9.
- Fransisca L, Park HK, Feng H. 2012. *E. coli* O157:H7 population reduction from alfalfa seeds with malic acid and thiamine dilauryl sulfate and quality evaluation of the resulting sprouts. *J Food Sci* 77:M121–6.
- Holliday SL, Scouten AJ, Beuchat LR. 2001. Efficacy of chemical treatments in eliminating *Salmonella* and *Escherichia coli* O157:H7 on scarified and polished alfalfa seeds. *J Food Prot* 64:1489–95.
- Hu H, Churey JJ, Worobo RW. 2004. Heat treatments to enhance the safety of mung bean seeds. *J Food Prot* 67:1257–60.
- Kim C, Hung YC, Brackett RE, Lin CS. 2003. Efficacy of electrolyzed oxidizing water in inactivating *Salmonella* on alfalfa seeds and sprouts. *J Food Prot* 66:208–14.
- Kim H, Kim H, Bang J, Beuchat LR, Ryu JH. 2010. Synergistic effect of chlorine dioxide and drying treatments for inactivating *Escherichia coli* O157:H7 on radish seeds. *J Food Prot* 73:1225–30.
- Kocharunchit C, Ross T, McNeil DL. 2009. Use of bacteriophages as biocontrol agents to control *Salmonella* associated with seed sprouts. *Int J Food Microbiol* 128:453–9.
- Kurtzweil P. 1999. Questions keep sprouting about sprouts. *FDA Consum* 33:18–22.

- Lang MM, Ingham BH, Ingham SC. 2000. Efficacy of novel organic acid and hypochlorite treatments for eliminating *Escherichia coli* O157:H7 from alfalfa seeds prior to sprouting. *Intl J Food Microbiol* 58:73–82.
- Liao CH. 2009. Acidified sodium chlorite as an alternative to chlorine for elimination of salmonella on alfalfa seeds. *J Food Sci* 74:M159–64.
- Loui C, Grigoryan G, Huang H, Riley LW, Lu S. 2008. Bacterial communities associated with retail alfalfa sprouts. *J Food Prot* 71:200–4.
- Matos A, Garland JL. 2005. Effects of community versus single strain inoculants on the biocontrol of *Salmonella* and microbial community dynamics in alfalfa sprouts. *J Food Prot* 68:40–8.
- Ministry of Health and Welfare of Japan. 1997. National Institute of Infectious Diseases and Infectious Disease Control Division. Verocytotoxin-producing *Escherichia coli* (enterohemorrhagic *E. coli*) infection, Japan, 1996 June 1997. *Infect Agents Surveill Rep* 18:153–4.
- Montville R, Schaffner DW. 2004. Analysis of published sprout seed sanitization studies shows treatments are highly variable. *J Food Prot* 67:758–65.
- Nandivada LS, Schamberger GP, Schafer HW, Diez-Gonzalez F. 2004. Characterization of an E2-type colicin and its application to treat alfalfa seeds to reduce *Escherichia coli* O157:H7. *Intl J Food Microbiol* 93:267–79.
- National Advisory Committee on Microbiological Criteria for Foods. 1999. Microbiological safety evaluations and recommendations on sprouted seeds. *Intl J Food Microbiol* 52:123–53.
- Neetoo H, Chen H. 2010. Inactivation of *Salmonella* and *Escherichia coli* O157:H7 on artificially contaminated alfalfa seeds using high hydrostatic pressure. *Food Microbiol* 27:332–8.
- Neetoo H, Chen H. 2011. Individual and combined application of dry heat with high hydrostatic pressure to inactivate *Salmonella* and *Escherichia coli* O157:H7 on alfalfa seeds. *Food Microbiol* 28:119–27.
- Neetoo H, Pizzolato T, Chen H. 2009a. Elimination of *Escherichia coli* O157:H7 from Alfalfa seeds through a combination of high hydrostatic pressure and mild heat. *Appl Environ Microbiol* 75:1901–7.
- Neetoo H, Ye M, Chen H. 2008. Potential application of high hydrostatic pressure to eliminate *Escherichia coli* O157:H7 on alfalfa sprouted seeds. *Intl J Food Microbiol* 128:348–53.
- Neetoo H, Ye M, Chen H. 2009b. Factors affecting the efficacy of pressure inactivation of *Escherichia coli* O157:H7 on alfalfa seeds and seed viability. *Intl J Food Microbiol* 131:218–23.
- Nei D, Bari ML, Inatsu Y, Kawasaki S, Todoriki S, Kawamoto S. 2010. Combined effect of low-dose irradiation and acidified sodium chlorite washing on *Escherichia coli* O157:H7 inoculated on mung bean seeds. *Foodborne Pathog Dis* 7:1217–23.
- Pierre PM, Ryser ET. 2006. Inactivation of *Escherichia coli* O157:H7, *Salmonella typhimurium* DT104, and *Listeria monocytogenes* on inoculated alfalfa seeds with a fatty acid-based sanitizer. *J Food Prot* 69:582–90.
- Rajkowski KT, Ashurst K. 2009. Use of 1% peroxyacetic acid sanitizer in an air-mixing wash basin to remove bacterial pathogens from seeds. *Foodborne Pathog Dis* 6:1041–6.
- Rajkowski KT, Boyd G, Thayer DW. 2003. Irradiation D-values for *Escherichia coli* O157:H7 and *Salmonella* sp. on inoculated broccoli seeds and effects of irradiation on broccoli sprout keeping quality and seed viability. *J Food Prot* 66:760–6.
- Rajkowski KT, Thayer DW. 2001. Alfalfa seed germination and yield ratio and alfalfa sprout microbial keeping quality following irradiation of seeds and sprouts. *J Food Prot* 64:1988–95.
- Robert Koch Institute. 2011. Final report-EHEC O104:H4 Outbreak. Available from: http://www.rki.de/EN/Home/EHEC_final_report.html. Accessed 2012 June 28.
- Saroj SD, Hajare S, Shashidhar R, Dhokane V, Sharma A, Bandekar JR. 2007. Radiation processing for elimination of *Salmonella typhimurium* from inoculated seeds used for sprout making in India and effect of irradiation on germination of seeds. *J Food Prot* 70:1961–5.
- Schoeller NP, Ingham SC, Ingham BH. 2002. Assessment of the potential for *Listeria monocytogenes* survival and growth during alfalfa sprout production and use of ionizing radiation as a potential intervention treatment. *J Food Prot* 65:1259–66.
- Taormina PJ, Beuchat LR, Slutsker L. 1999. Infections associated with eating seed sprouts: an international concern. *Emerg Infect Dis* 5:626–34.
- Thayer DW, Rajkowski KT, Boyd G, Cooke PH, Soroka DS. 2003. Inactivation of *Escherichia coli* O157:H7 and *Salmonella* by gamma irradiation of alfalfa seed intended for production of food sprouts. *J Food Prot* 66:175–81.
- Thomas JL, Palumbo MS, Farrar JA, Farver TB, Cliver DO. 2003. Industry practices and compliance with U.S. Food and Drug Administration guidelines among California sprout firms. *J Food Prot* 66:1253–9.
- U.S. Food and Drug Administration. 2000. Irradiation in the production, processing and handling of food. *Fed Regist* 65(210):64605–7.
- U.S. Food and Drug Administration. 1989. Seeds for sprouting prior to food use, i.e., dried mung beans, alfalfa seeds, etc. Compliance policy guide 7120.28, section 555.750.
- U.S. Food and Drug Administration. 1999a. Guidance for industry: reducing microbial food safety hazards for sprouted seeds.
- U.S. Food and Drug Administration. 1999b. Guidance for industry: sampling and microbial testing of spent irrigation water during sprout production.
- U.S. Food and Drug Administration Memorandum. 1999. M. Walderhaug to J. Ziyad. December 15.
- Weiss A, Hertel C, Grothe S, Ha D, Hammes WP. 2007. Characterization of the cultivable microbiota of sprouts and their potential for application as protective cultures. *Syst Appl Microbiol* 30:483–93.
- Wilderdryke MR, Smith DA, Brashears MM. 2004. Isolation, identification, and selection of lactic acid bacteria from alfalfa sprouts for competitive inhibition of foodborne pathogens. *J Food Prot* 67:947–51.
- Wuytack EY, Diels AM, Meersseman K, Michiels CW. 2003. Decontamination of seeds for seed sprout production by high hydrostatic pressure. *J Food Prot* 66:918–23.
- Ye J, Kostrzynska M, Dunfield K, Warriner K. 2010. Control of *Salmonella* on sprouting mung bean and alfalfa seeds by using a biocontrol preparation based on antagonistic bacteria and lytic bacteriophages. *J Food Prot* 73:9–17.
- Zhao T, Zhao P, Doyle MP. 2010. Inactivation of *Escherichia coli* O157:H7 and *Salmonella typhimurium* DT 104 on alfalfa seeds by levulinic acid and sodium dodecyl sulfate. *J Food Prot* 73:2010–7.